

AUDIT AND GOVERNANCE COMMITTEE

Date: Wednesday 3 December 2014
Time: 5.30 pm
Venue: Rennes Room, Civic Centre, Paris Street, Exeter

Members are invited to attend the above meeting to consider the items of business.

If you have an enquiry regarding any items on this agenda, please contact Sharon Sissons, Democratic Services Officer (Committees) on 01392 265115 or email sharon.sissons@exeter.gov.uk

Entry to the Civic Centre can be gained through the Customer Services Centre, Paris Street.

Membership -

Councillors Sheldon (Chair), Baldwin (Deputy Chair), Bowkett, Brock, Donovan, Henson, Laws, Lyons, Morris, Raybould and Williams

Agenda

Part I: Items suggested for discussion with the press and public present

1 Apologies

To receive apologies for absence from Committee Members.

2 Minutes

To sign the Minutes of the meeting held on 24 September 2014.

3 Declarations of Interest

Councillors are reminded of the need to declare any disclosable pecuniary interest that relate to business on the agenda and which have not already been included in the register of interests, before any discussion takes place on the item. Unless the interest is sensitive, you must also disclose the nature of the interest. In accordance with the Council's Code of Conduct, you must then leave the room and must not participate in any discussion of the item. Councillors requiring clarification should seek the advice of the Monitoring Officer prior to the day of the meeting.

4 Local Government (Access to Information) Act 1985 - Exclusion of Press and Public

RESOLVED that, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for consideration of Items 12 and 13 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1 and 3 of Part I, Schedule 12A of the Act.

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| 5 | Review of Corporate Governance Risk Register | To consider the report of the Corporate Manager Policy, Communications and Community Engagement. | (Pages 5 - 8) |
| 6 | Audit and Governance Committee Update | To consider the report of the Council's Auditors Grant Thornton. | (Pages 9 - 16) |
| 7 | Annual Audit Letter - Grant Thornton | To consider the report of the Council's Auditors Grant Thornton. | (Pages 17 - 24) |
| 8 | Internal Audit Progress Report | To consider the report of the Audit Managers. | (Pages 25 - 34) |
| 9 | Measuring the Effectiveness of the Audit Committee - Self Assessment Checklist Update | To consider the report of the Audit Managers. | (Pages 35 - 40) |
| 10 | Regulation of Investigatory Powers Act 2000 RIPA) | To consider the report of the Monitoring Officer/Corporate Manager Legal Services. | (Pages 41 - 78) |
| 11 | Whistle Blowing Policy Update | To consider the report of the Monitoring Officer/Corporate Manager Legal Services. | (Pages 79 - 86) |

Part II: Items for consideration with the Press and Public Excluded

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| 12 | Review of Corporate Governance Risk Register (Appendix A) | To consider the report of the Corporate Manager Policy, Communications and Community Engagement. | (Pages 87 - 88) |
| 13 | Protecting the Public Purse from Fraud 2014 | To receive the Briefing Paper - Grant Thornton. | (Pages 89 - 102) |

Date of Next Meeting

The next **Audit and Governance Committee** will be held on Wednesday 11 March 2015 at 5.30 pm

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